

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

X
: Chapter 11
:
: Case No. 08-13555 (SCC)
:
: (Jointly Administered)
:
: Ref. Docket Nos. 54104, 54242,
: 54395, 54410, 54581, 54582
X

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 26, 2017, I caused to be served the "Notice of Defective Transfer," dated January 26, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this
26th day of January, 2017
/s/ Sidney J. Garabato
Sidney J. Garabato
Notary Public, State of New York
No. 01GA6218946
Qualified in New York County
Commission Expires March 15, 2018

/s/ Forrest Kuffer
Forrest Kuffer

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:
LEHMAN BROTHERS HOLDINGS, INC., et al.

Chapter 11

Case No. 08-13555 (SCC)

(Jointly Administered)

Debtors.

NOTICE OF DEFECTIVE TRANSFER

Transferor: CREDIT SUISSE AG
TRANSFEROR: CREDIT SUISSE (LUXEMBOURG) S.A.
C/O CRAVATH, SWAIN & MOORE LLP
ATTN: TREVOR BROAD
825 8TH AVENUE
NEW YORK, NY 10019

CREDIT SUISSE AG
C/O KWJS & S
ATTN: ST. SWEENEY
570 7TH AVENUE, 17TH FLOOR
NEW YORK, NY 10018-1624

Transferee: EFG BANK AG
24, QUAI DU SEUJET
CP 2391 1211
GENEVE 2
SUISSE

Your transfer as presented is defective for the reason(s) checked below:

- ☐ case closed on:
- ☐ address missing: (transferor) (transferee)
- ☐ signature of transferor missing
- ☐ claim number in error
- ☐ claim number not stated
- ☐ amount of transfer missing in Transfer Agreement
- ☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- ☐ not FRBP 3001(e)(1) OR (3); claim **ON** file
- ☐ notice amount does not match transfer agreement
- ☐ cannot docket transfer-claim #
 - ☐ expunged by court order
 - ☐ previously transferred
- ☐ By document #

(X) other

(specify): with regard to Claim 55829 listed on the updated Transfer Notice, the ISIN in question was processed via DN 50831 – There are no funds in ISIN XS0277877469 to process this updated Transfer

NOTE:

Docket Number: 54104

/s/ Lauren Rodriguez
Epiq Bankruptcy Solutions LLC
as Claims Agent for the Debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 26, 2017.

EXHIBIT B

LBH_DEFTRANSTC_DN 54104_1-26-17

CREDIT SUISSE AG
TRANSFEROR: CREDIT SUISSE (LUXEMBOURG) S.A.
C/O CRAVATH, SWAINE & MOORE LLP
ATTN: TREVOR BROAD
825 8TH AVENUE
NEW YORK, NY 10019

LBH_DEFTRANSTC_DN 54104_1-26-17

EFG BANK AG
24, QUAI DU SEUJET
CP 2391 1211
GENEVE 2
SUISSE

LBH_DEFTRANSTC_DN 54242_1-26-17

JADE TREE I, L.L.C.
SCOTT L. ESBIN
ESBIN & ALTER, LLP
497 SOUTH MAIN STREET
NEW CITY, NY 10956

LBH_DEFTRANSTC_DN 54395_1-26-17

UBS AG
ATTN: HUGO KOLLER
BAHNHOFSTRASSE 45
8001 ZURICH
SWITZERLAND

LBH_DEFTRANSTC_DN 54410_1-26-17

BANCA POPOLARE DI MILANO SCARL
ATTN: EMILIANO CORTINOVIS
PIAZZA MEDA N. 4
MILANO 20121
ITALY

LBH_DEFTRANSTC_DN 54104_1-26-17

CREDIT SUISSE AG
C/O KWJS & S
ATTN: ST. SWEENEY
570 7TH AVENUE, 17TH FLOOR
NEW YORK, NY 10018-1624

LBH_DEFTRANSTC_DN 54242_1-26-17

JADE TREE I, L.L.C.
PO BOX 6463
NEW YORK, NY 10150

LBH_DEFTRANSTC_DN 54242_1-26-17

DEUTSCHE BANK AG, LONDON BRANCH
ATTN: RICH VICHADITH
C/O DEUTSCHE BANK SECURITIES INC.
60 WALL STREET
NEW YORK, NY 10005

LBH_DEFTRANSTC_DN 54395_1-26-17

REGIOBANK SOLOTHURN AG
C/O FINANZ-LOGISTIK AG
ATTN: MARCEL LEDERGERBER
ROSENBERGSTRASSE 16
9004 ST. GALLEN
SWITZERLAND

LBH_DEFTRANSTC_DN 54410_1-26-17

CREDITO EMILIANO S.P.A.
ATTN: STEFANIA CATELLANI
VIA GANDHI 2/C
REGGIO EMILIA 42123
ITALY

LBH_DEFTRANSNTC_ DN 54581-54582 _1-26-17

LBH_DEFTRANSNTC_ DN 54581-54582 _1-26-17

MORGAN STANLEY CAPITAL SERVICES, LLC
ATTN: CHIEF LEGAL OFFICER OR JOHN RAGUSA
1585 BROADWAY
NEW YORK, NY 10036

EMPYREAN INVESTMENTS, LLC
C/O EMPYREAN CAPITAL PARTNERS, LP
ATTN: STERLING HATHAWAY
10250 CONSTELLATION BLVD., STE 2950
NEW YORK, NY 10081